



# Solid pseudopapillary neoplasm of the pancreas: National Cancer Data Base analysis of a gender-specific carcinoma with good prognosis

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**Background:** Solid pseudopapillary neoplasm (SPN) of the pancreas is a rare tumor which predominantly occurs in young female. This research analyzes characteristics and outcomes of this disease by gender.

**Methods:** Patients diagnosed with SPN between 1998 and 2012 were identified from the National Cancer Data Base (NCDB) (n=389). Characteristics and treatment outcomes were compared between genders.

**Results:** SPN diagnoses has continued to increase during the last 15 years, a majority of which were female (324/389, 83.3%). Males are diagnosed significantly later than females (50.1 vs. 38.4 years,  $P<0.0001$ ); are more likely to have metastasis (14.5% vs. 5.6%,  $P=0.03$ ); less likely to undergo resection (72.3% vs. 90.4%,  $P<0.0001$ ); and more likely to receive chemotherapy (19.0% vs. 8.7%,  $P=0.01$ ). Forty-nine patients who did not undergo surgery had a significantly worse survival compared to those who did (5-year survival: 49.7% vs. 95.0%,  $P<0.001$ ). Among these, males had worse survival than females (median survival: 37.4 vs. 60.5 months,  $P=0.33$ ). For surgical patients, there is no survival difference between sex groups (5-year survival: 94.0% vs. 95.2%,  $P=0.86$ ).

**Conclusions:** Patients with SPN should undergo surgical resection due to the significant survival advantage seen. Further study is needed to confirm the observed survival difference between males and females with unresectable disease.

**Keywords:** Pancreatic neoplasms; pancreatic cancer; pancreas; cancer of pancreas

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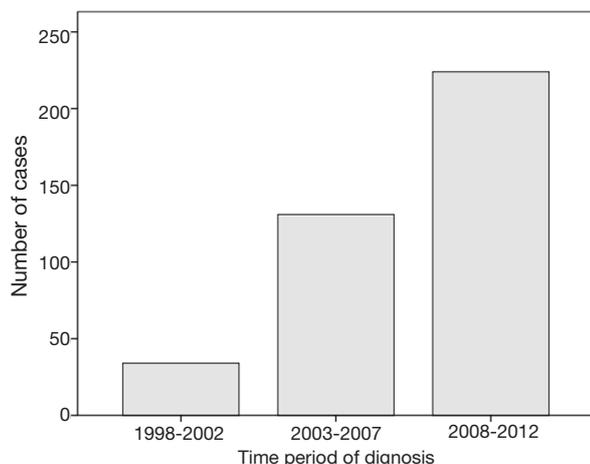
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## Introduction

Solid pseudopapillary tumor (SPT) of the pancreas is a rare clinical entity recognized as an indolent pancreatic tumor with potential malignant behavior in the past years. It represents 1–3 % of all pancreatic tumors and 10–15 % of cystic tumors of the pancreas (1,2). It occurs predominantly

in adolescent girls and young women; comparatively, much rare in men (3–5). Although previously believed to be mostly benign, around 15% of resected cases have shown malignant features and are subsequently categorized as solid pseudopapillary carcinoma (SPC) (2,6–8). More recently, the World Health Organization (WHO) has reclassified all solid pseudopapillary neoplasms (SPNs) as low-grade



**Figure 1** Increasing number of patients with SPNs registered in the NCDB during the last 15 years. SPN, solid pseudopapillary neoplasm; NCDB, National Cancer Data Base.

malignant neoplasms as our understanding of the histology and prognosis increases (9). Although most patients with SPNs have a favorable prognosis after radical resection, local recurrence or metastasis can occur after surgery (7,10,11). While there are multiple reports of SPNs that have been published in recent years, the majority of these studies are single-center reports or small case series. This striking gender distribution and lack of data using large series of patients has led us to undertake further study of this rare disease. In this study, we use the cohort from National Cancer Data Base (NCDB) and construct a comparative analysis by gender on the clinicopathological features, surgical treatments, and the long-term outcomes of SPNs.

## Methods

### Database and patient population

The NCDB is a joint project of the Commission on Cancer of the American College of Surgeons and the American Cancer Society, which captures approximately 70% of all newly diagnosed cases of cancer in the United States (12). With approval of the institutional review board, we identified patients with pancreatic SPN (code 8452/3) between 1998 and 2012 from the NCDB, with PUF dictionary version 2014 used for definitions.

Demographic and clinicopathological data were extracted and recoded according to the grouping protocol. We defined surgical resection to be a pancreatic resection aimed at removal of the primary tumor, including partial

or distal pancreatectomy coded by 25, 30, 80 and 90, pancreatoduodenectomy coded by 35, 36, 37 and 70, and total pancreatectomy coded by 40 and 60. Ethnicity codes were used to group the race into Caucasian (code 01), African American (code 02) and other. For the primary tumor location, we combined the body and tail together, with unclear and not otherwise specified locations being defined as other. Analytic stage grouping was used and collapsed into the corresponding general stage designation according to AJCC clinical and pathologic stage. Charlson-Deyo score was recorded as an estimate of patient comorbidity. Other clinicopathological factors included patient's age, gender, tumor size, tumor grade, surgical margin, lymph vascular invasion, number of examined and positive lymph nodes, chemotherapy and radiation therapy.

### Statistical analysis

Categorical data were analyzed using the chi-square test, and quantitative variables were compared using the independent samples *t* test. Survival function estimation and comparison were performed using Kaplan–Meier estimates and the log-rank test. Univariate and multivariable Cox proportional hazards regression model were used to evaluate the hazard ratio (HR) and the 95% confidence interval (CI) for the possible prognostic factors. Statistical analysis was conducted using SPSS v.22 (SPSS Inc., Chicago, IL, USA). All statistical tests were two-sided, with alpha equal to 0.05.

## Results

### Clinicopathological characteristics

Between January 1998 and December 2012, a total of 356,108 patients diagnosed with pancreatic cancer were registered in the NCDB, consisted of 389 (0.1%) cases categorized for pathologically confirmed malignant SPN. The number of patients with SPN has continued to increase during the last 15 years (34 for 1998–2002, 131 for 2003–2007 and 224 cases for 2008–2012), a majority of which were female (324/389, 83.3%) (Figure 1). Only three patients were registered in the first 3 years, while 146 patients were registered during the last 3 years. Male patients were diagnosed at significantly older age than female patients (mean age: 50.1 *vs.* 38.4 years,  $P < 0.0001$ ), more likely to have distant metastasis (14.5% *vs.* 5.6%,  $P = 0.03$ ), less likely to undergo surgical resection (72.3% *vs.* 90.4%,  $P < 0.0001$ ), and more likely to receive chemotherapy

(19.0% vs. 8.7%,  $P=0.01$ ). Other clinicopathological features of the patients were listed with comparison in *Table 1*.

### *Reasons for non-operative management*

There were 49 patients that did not undergo surgical resection. The majority of this cohort was not recommended surgery as part of the planned first course treatment based on the oncologic baseline evaluation (40/49). Two patients were not recommended surgery due to patient risk factors, and four patients were recommended surgery but did not pursue surgery due to other factors. Compared with the patients who underwent surgery, patients without surgery were more likely to be diagnosed at an older age (mean age: 52.0 vs. 38.7 years,  $P<0.0001$ ), to have uncertain tumor sites of pancreas (42.9% vs. 16.5%,  $P<0.0001$ ), and to have distant metastasis (42.6% vs. 1.9%,  $P<0.0001$ ). Other factors, such as tumor size and comorbidity, had no significant differences between the two groups (*Table 2*).

### *Survival outcomes*

Patients with SPNs had a mean survival time (139.8±9.4 months), with an impressive 5-year and 10-year overall survival (89.0% and 73.7%). Patients who did not undergo surgery had significantly worse survival when compared with the surgical group (5-year survival: 49.7% vs. 95.0%,  $P<0.001$ ). Among 49 patients who did not undergo surgery, male patients appear to have worse survival than female patients (median survival: 37.4 vs. 60.5 months,  $P=0.33$ ). For surgical patients, there is no survival difference between sex groups (5-year survival: 94.0% vs. 95.2%,  $P=0.86$ ) (*Figure 2*).

## **Discussion**

SPN was first described and misdiagnosed with nonfunctioning islet cell tumors, named as Frantz's tumors in 1959 (13). Since then, these tumors have been recognized as rare and usually benign neoplasms with predominant manifestation in young women, named as several synonyms no longer used, including solid-pseudopapillary tumor, solid-cystic tumor, papillary-cystic tumor, and solid and papillary epithelial neoplasm (2,14). During that period, criteria of malignancy had not been clearly established. Generally, SPN was defined as malignant when there was evidence of unequivocal extra-pancreatic invasion, pancreatic parenchymal invasion, perineural invasion,

vascular invasion, and distant metastases (3,15-17). Meanwhile, distant metastasis could also occur in the patients with bland-looking SPN when the other above-mentioned histological criteria of malignancy were not detected (1,18), and no significant influence of vascular or peripancreatic tissue invasion was noted (19). Due to the controversial indications of malignancy, benign appearing solid-pseudopapillary neoplasms were later classified as lesions of uncertain malignant potential according to WHO's suggestion in 2000 (15), and then again reclassified all of the SPNs as low-grade malignant neoplasms with the category for benign behavior removed in 2010 (9).

While Many investigator have tried to review SPNs in a large series (6,20-27), there are only nine reports published in English with a study cohort over 50 patients in the last 20 years; the largest of which included 187 patients from Peking Union Medical College Hospital in China (27). Given the new standard of WHO classification and progress in imaging techniques, the number of registered SPNs in NCDB data base should likely have a similar increase in the number of SPNs detected. However, only 389 patients with SPNs were enrolled in the data base, accounted for 0.1% of the total population with pancreatic tumors, which was lower than the previously reported ratio. Nevertheless, while this data from NCDB was likely incomplete, this study has still contributed the largest retrospective cohort for SPN research until now. In addition, detailed guidelines for clinical practice and enrollment still need to be revised for the future analytic research.

Generally, the majority of the SPNs occur in the young female population, with a wide age range as 2-85 years old (1,2). Differing from previously described reports (1-5), data from our study showed that the average age of the SPN patients was older; 38.4 years old for female, and 50.1 years old for male, with a male/female ratio of approximately 1:5. Lam *et al.* conducted a total of 452 cases from literature including 189 occurrences in Asian and 59 occurrences in African American populations, as well as demonstrating that SPNs in Japan tended to be found in older patients and were more frequent among men than usual (28). It seems that the striking sex and age distribution should be related to genetic and hormonal factors, but there are no reports indicating an association with endocrine disturbances such as overproduction of estrogen or progesterone in the past decades. Typical SPN is composed of poorly cohesive, monomorphic cells forming solid and pseudopapillary structures with fibrovascular stalks, frequently showing hemorrhagic-cystic degeneration and variably expressing

**Table 1** Clinicopathological characteristics of the patients with SPNs subgrouped by genders

Variables	Total <sup>a</sup>	Female	Male	P <sup>b</sup>
Age, year, mean ± SD	40.35±15.26	38.38±14.11	50.14±17.00	0.000
N-examined, mean ± SD	7.69±8.93	7.95±9.04	6.44±8.29	0.224
N-positive, mean ± SD	0.17±0.60	0.15±0.49	0.32±1.06	0.200
Race				0.463
White	261 (67.8)	214 (66.9)	47 (72.3)	
Black	100 (26.0)	84 (26.3)	16 (24.6)	
Other	24 (6.2)	22 (6.9)	2 (3.1)	
Charlson-Deyo score				0.010
0	294 (82.8)	251 (84.2)	43 (75.4)	
1	53 (14.9)	44 (14.8)	9 (15.8)	
≥2	8 (2.3)	3 (1.0)	5 (8.8)	
Tumor location				0.531
Head	108 (27.8)	88 (27.2)	20 (30.8)	
Body/tail	204 (52.4)	174 (53.7)	30 (46.2)	
Other	77 (19.8)	62 (19.1)	15 (23.1)	
Tumor size				0.348
≤2	30 (8.2)	28 (9.0)	2 (3.5)	
2–4	115 (31.3)	95 (30.5)	20 (35.1)	
>4	223 (60.6)	188 (60.5)	35 (61.4)	
Tumor grade				0.095
I–II	103 (94.5)	84 (96.6)	19 (86.4)	
III–IV	6 (5.5)	3 (3.4)	3 (13.6)	
Lymph-vascular invasion				0.833
Negative	110 (94.0)	99 (93.4)	11 (100)	
Positive	7 (6.0)	7 (6.6)	0	
LN metastasis				0.403
Negative	246 (88.5)	215 (89.2)	31 (83.8)	
Positive	32 (11.5)	26 (10.8)	6 (16.2)	
Distant metastasis				0.025
Negative	339 (92.9)	286 (94.4)	53 (85.5)	
Positive	26 (7.1)	17 (5.6)	9 (14.5)	

Table 1 (continued)

**Table 1** (continued)

Variables	Total <sup>a</sup>	Female	Male	P <sup>b</sup>
AJCC stage				0.027
0–I	230 (65.7)	198 (68.0)	32 (54.2)	
II	77 (22.0)	63 (21.6)	14 (23.7)	
III	8 (2.3)	7 (2.4)	1 (1.7)	
IV	35 (10.0)	23 (7.9)	12 (20.3)	
Surgery				0.000
Resection	340 (87.4)	293 (90.4)	47 (72.3)	
Non-resection	49 (12.6)	31 (9.6)	18 (27.7)	
Tumor margin				1.000
Negative	292 (91.3)	252 (91.3)	40 (90.9)	
Positive	28 (8.8)	24 (8.7)	4 (9.1)	
Type of resection				0.927
Partial and distal	189 (55.6)	163 (55.6)	26 (55.3)	
Whipple	113 (33.2)	98 (33.4)	15 (31.9)	
Total pancreatectomy	38 (11.2)	32 (10.9)	6 (12.8)	
Chemotherapy				0.014
Yes	39 (10.4)	27 (8.7)	12 (19.0)	
No	335 (89.6)	281 (91.3)	51 (81.0)	
Radiation therapy				0.228
Yes	21 (5.5)	15 (4.7)	6 (9.4)	
No	363 (94.5)	305 (95.3)	58 (90.6)	

Data were given as number (%) unless otherwise noted. a, patients with system missing data were excluded according to variables; b, P value for  $\chi^2$  test. SPNs, solid pseudopapillary neoplasm; LN, lymph node; AJCC, American Joint Committee on Cancer.

**Table 2** Associated factors of no surgery for the patients with SPNs

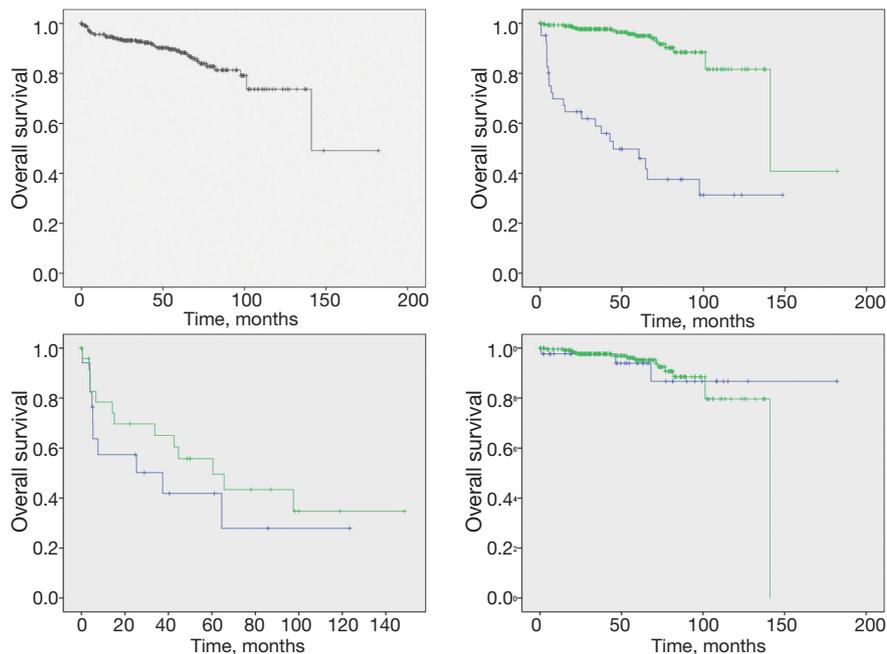
Variables	Total <sup>a</sup>	Surgery	No surgery	P <sup>b</sup>
Age, year, mean $\pm$ SD	–	–	–	–
Gender				0.000
Male	65 (16.7)	47 (13.8)	18 (36.7)	
Female	324 (83.3)	293 (86.2)	31 (63.3)	
Race				0.287
White	261 (67.8)	232 (69.0)	29 (59.2)	
Black	100 (26.0)	85 (25.3)	15 (30.6)	
Other	24 (6.2)	19 (5.7)	5 (10.2)	

**Table 2** (continued)

Table 2 (continued)

Variables	Total <sup>a</sup>	Surgery	No surgery	P <sup>b</sup>
Charlson-Deyo score				0.063
0	294 (82.8)	261 (83.1)	33 (80.5)	
1	53 (14.9)	48 (15.3)	5 (12.2)	
≥2	8 (2.3)	5 (1.6)	3 (7.3)	
Tumor location				0.000
Head	108 (27.8)	94 (27.6)	14 (28.6)	
Body/tail	204 (52.4)	190 (55.9)	14 (28.6)	
Other	77 (19.8)	56 (16.5)	21 (42.9)	
Tumor size				0.511
≤2	30 (8.2)	28 (8.4)	2 (5.6)	
2–4	115 (31.3)	106 (31.9)	9 (25.0)	
> 4	223 (60.6)	198 (59.6)	25 (69.4)	
Distant metastasis				0.000
Negative	339 (92.9)	312 (98.1)	27 (57.4)	
Positive	26 (7.1)	6 (1.9)	20 (42.6)	

Data were given as number (%) unless otherwise noted. a, patients with system missing data were excluded according to variables; b, P value for  $\chi^2$  test. SPNs, solid pseudopapillary neoplasms.



**Figure 2** Overall survival curve of patients with SPN. (A) 5-year survival of all the patients with SPN in NCDB; (B) 5-year survival of the patients with SPN in comparison between surgery and no surgery group ( $P < 0.0001$ ); (C) 5-year survival of the resected patients with SPN in comparison between female and male group ( $P = 0.33$ ); (D) 5-year survival of the non-resected patients with SPN in comparison between female and male group ( $P = 0.86$ ). SPN, solid pseudopapillary neoplasm; NCDB, National Cancer Data Base.

epithelial, mesenchymal and endocrine markers (9). Basically, no significant difference was observed between male and female SPNs in regards to tumor characteristics of size, macroscopic cystic degeneration, necrosis, lymphovascular involvement, perineural invasion, capillary density, and immunohistochemical expression of known markers (10,29,30). In contrast, SPN in men tends to exhibit solid components that lack prominent pseudopapillary or pseudoglandular formations and prominent degenerative changes (29).

Based on limited data, the phenomenon for atypically aggressive behavior of SPNs in males is lacking in explanation. Our analysis shows that male patients with SPNs were diagnosed at significantly older age than female patients and more likely to have distant metastasis, resulting in them being less likely to undergo surgical resection and to have worse prognosis, which is similar data reported in previous studies (10,31). We also found there was no survival difference between genders when both underwent surgical resection. Valid prognostic factors are still lacking due to insufficient data for analysis in this study. Given that the reasons for more aggressive behavior and worse survival in male patients remains unclear, male patients with SPNs should be recommended to be treated by a more radical resection and to be observed closely during follow-up.

In conclusion, SPN is a rare pancreatic neoplasm that occurs predominantly in young females. Surgical resection conveys a significant survival advantage and should be pursued whenever possible. When SPN does occur in males, it tends to be diagnosed at a much later age and is more likely to have distant metastasis. This leads to a significant difference in survival between genders with SPN that is not seen when surgical resection is undertaken. More research is needed to understand the differences between men and women diagnosed with this rare pancreatic tumor.

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### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* This type of study is waived from IRB review at Baptist MD Anderson Cancer Center.

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